

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	: Berman, Mitchell F.	Customer No.	: 21003
Serial No.	: 10/804,250	Confirmation No.	: 7474
Filed	: 03/19/2004	Group Art Unit	: 3771
Examiner	: Matter, Kristen Clarette		
For	: Integrated Anesthesia Machine		

**REQUEST FOR RECONSIDERATION OF THE PETITION TO WITHDRAW  
HOLDING OF ABANDONMENT UNDER 37 C.F.R. § 1.181(a)**

Mail Stop **PETITION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

On March 26, 2008, the Office of Petitions dismissed the previously filed petition under 37 C.F.R. § 1.181(a) because Applicants did not provide a statement which attests on personal knowledge that the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address was mailed on January 20, 2006. Therefore, Applicants submit herewith (Exhibit 1) a statement by Paul A. Ragusa attesting to this fact. A copy of the previously filed petition is attached as Exhibit 2.

In view of the documents submitted herewith, Applicants respectfully request that the abandonment of this application be withdrawn and that a new period of reply be set for responding to the Office Action.

Applicants believes that there is no fee required with this communication.  
However, if any fees are required, the Commissioner is hereby authorized to charge payment of  
any fees relating to this communication to Deposit Account No. 02-4377.

Respectfully submitted,

BAKER BOTTS L.L.P.

/David Schalk/  
David Schalk  
Patent Office Reg. No. 60,527  
Paul A. Ragusa  
Patent Office Reg. No. 38,587  
Attorneys for Applicant  
30 Rockefeller Plaza  
44th Floor  
New York NY 10112  
(212) 408-2500

# **EXHIBIT 1**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

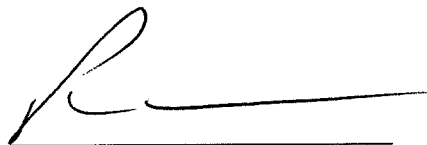
Applicant(s) : Berman, Mitchell F. Customer No. : 21003  
Serial No. : 10/804,250 Confirmation No. : 7474  
Filed : 03/19/2004 Group Art Unit : 3771  
Examiner : Matter, Kristen Clarette  
For : Integrated Anesthesia Machine

**STATEMENT UNDER 37 C.F.R. 1.8(b)(3)**

I, Paul A. Ragusa, declare the following:

1. On January 20, 2006, I signed a Certificate of Transmission/Mailing certifying that a Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address was being sent to the USPTO via first class mail. A copy of our Mail Room log from this date is attached in support of this assertion.
2. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of any patent issuing from the above-captioned patent application.

Date: 4/17/08

  
Paul A. Ragusa  
Patent Office Reg. No. 38,587

-BOX- FRIDAY

JAN 20 2006

ASSIGN. 070050.2982

ASSIGN.

CM

070457.2044

CORR. FILING RECEIPT

CM

MSSG

PTS 070050.2982

MSSG PARTS

CM

MSSG

070121.0579

RESP. TO OA

CM

PTS

070050.2979

RESP. TO NOTFILE MSSG PTS \$65

CM

071838.0142

PETITION 5 MONTH EXT \$1080

CM

MSSG

PTS

070050.2974

NOTFILE MSSG PTS \$60 + \$65

CM

ISSUE

FEE

070013.0198

ISSUE FEE \$800

CM

## **EXHIBIT 2**

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2942825
<b>Application Number:</b>	10804250
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7474
<b>Title of Invention:</b>	Integrated anesthesia machine
<b>First Named Inventor/Applicant Name:</b>	Mitchell F. Berman
<b>Correspondence Address:</b>	Leslie Gladstone Restaino, Esq. Brown Raysman Millstein Felder & Steiner LLP 163 Madison Avenue, P.O. Box 1989 Morristown NJ 07962-1989 US 9737758930 -
<b>Filer:</b>	David W. Schalk
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	5199-85
<b>Receipt Date:</b>	03-MAR-2008
<b>Filing Date:</b>	19-MAR-2004
<b>Time Stamp:</b>	18:11:36
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition for review by the Office of Petitions.	2922.pdf	64429	no	2
			4673811314b1e0fde17b85b5ff54879b212fbade9		

**Warnings:**

**Information:**

2	Petition for review by the Office of Petitions.	Untitled13.pdf	468278	no	11
			af2e50e7d3827038272f8aba8227d41c2fa489eb		

**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>			532707
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Berman, Mitchell F. Customer No. : 21003  
Serial No. : 10/804,250 Confirmation No. : 7474  
Filed : 03/19/2004 Group Art Unit : 3771  
Examiner : Matter, Kristen Clarette  
For : Integrated Anesthesia Machine

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT**  
**UNDER 37 C.F.R. §1.181(a)**

Mail Stop **PETITION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

On January 20, 2006, Applicants mailed the following documents to the USPTO by first class mail (attached as Exhibit 1):

1. Transmittal Form (1 page);
2. Recordation Form Cover Sheet (2 pages);
3. Assignment (2 pages);
4. Revocation of Power of Attorney (1 page); and
5. Return Receipt Postcard (1 page).

The Notice of Recordation mailed April 19, 2006 (Exhibit 2) indicated that the Assignment document was recorded on January 25, 2006 at Reel **017494**, Frame **0302**. However the Revocation of Power of Attorney was never entered and as a result the correspondence address was never changed.

Then, on June 22, 2007, a Non-Final Office Action was mailed to Brown Raysman. This Office Action was not forwarded to us until January 14, 2008, which was after

the final response deadline of December 22, 2007.

In recently reviewing the Notice of Recordation, Applicants noticed that three pages of Assignment documents were recorded. However, the submitted Assignment was only two pages long. Therefore, it is Applicants' belief that the Revocation of Power of Attorney was mistakenly recorded with the Assignment document instead of being forwarded to the proper Mail Stop. If required, Applicants can provide a certified copy of the recorded Assignment showing this error.

Applicants therefore believe that the abandonment for the above-identified patent application should be withdrawn because the Non-Final Office Action was mailed to the wrong address by the USPTO as a result of the Revocation and Power of Attorney not being entered. Applicants also request that a new period of reply be set for responding to the Office Action.

Applicants believes that there is no fee required with this communication. However, if any fees are required, the Commissioner is hereby authorized to charge payment of any fees relating to this communication to Deposit Account No. 02-4377.

Respectfully submitted,

BAKER BOTTS L.L.P.

/David Schalk/  
David Schalk  
Patent Office Reg. No. 60,527  
Paul A. Ragusa  
Patent Office Reg. No. 38,587  
Attorneys for Applicant  
30 Rockefeller Plaza  
44th Floor  
New York NY 10112  
(212) 408-2500

# EXHIBIT 1

6In Re: Berman  
(Applicant)  
In Re: ASSIGNMENT 10/804,250  
(Serial No.)  
(Title of Paper) Jan. 20, 2006 070050.2922  
(Date) (File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

1. 1 page transmittal
2. recordation cover sheet
3. revocation and power of attorney
4. 2 page assignment

6In Re: Berman  
(Applicant)  
In Re: ASSIGNMENT 10/804,250  
(Serial No.)  
(Title of Paper) Jan. 20, 2006 070050.2922  
(Date) (File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

1. 1 page transmittal
2. recordation cover sheet
3. revocation and power of attorney
4. 2 page assignment

BAKER BOTTS LLP  
RECEIVED

FEB 03 2006

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

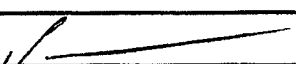
Total Number of Pages in This Submission

Application Number	10/804,250
Filing Date	03/19/2004
First Named Inventor	Mitchell F. Berman
Art Unit	3764
Examiner Name	
Attorney Docket Number	070050.2922

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Baker Botts LLP	Customer No.	21003
Signature			
Printed name	Paul A. Ragusa		
Date	01/20/2006	Reg. No.	38,587

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Paul A. Ragusa	Date	01/20/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECORDATION FORM COVER SHEET

PATENTS ONLY

Docket No.: 070050.2922

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Mitchell F. Berman

2. Name and address of receiving party(ies)

Name: The Trustees Of Columbia University In The City Of New York

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Street Address: 116th Street and Broadway

City: New York

State: New York

Country: United States Zip: 10027

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 01/13/2006

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/804,250

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Baker Botts L.L.P.

Internal Address: \_\_\_\_\_

Street Address: 30 Rockefeller Plaza, 44th Floor

City: New York

State: New York Zip: 10112

Phone Number: \_\_\_\_\_

Fax Number: 212-408-2501

Email Address: \_\_\_\_\_

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 02-4377

Authorized User Name Baker Botts L.L.P.

9. Signature: \_\_\_\_\_

Signature

01/20/2006

Date

Paul A. Ragusa

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PTO/SB/82 (04-05)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/804,250
Filing Date	03/19/2004
First Named Inventor	Mitchell F. Berman
Art Unit	3764
Examiner Name	
Attorney Docket Number	070050.2922

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

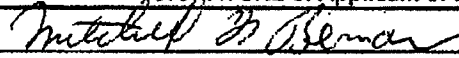
☒ I hereby appoint the practitioners associated with the Customer Number: 21003☒ Please change the correspondence address for the above-identified application to:☐ The address associated with  
Customer Number:21003

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
City		State		Zip	
Country					
Telephone			Email		

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature			
Name	Mitchell F. Berman		
Date	1/13/06	Telephone	212 305-6494

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

212 854 7894

070050.2922  
PATENT

### ASSIGNMENT

WHEREAS, I, MITCHELL F. BERMAN, a citizen of The United States, whose post office address is 18 Calumet Avenue, Hastings-on-Hudson, New York 10706, have made an invention entitled "INTEGRATED ANESTHESIA MACHINE," as set forth and described in United States Patent Application No. 10/804,250, filed March 19, 2004; and

WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, having its principal place of business at 116th Street and Broadway, New York, New York 10027, is desirous of acquiring the whole right, title and interest in and to said application for Letters Patent, and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the said MITCHELL F. BERMAN, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to me in hand paid by said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, and other valuable considerations unto me moving from said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK at or before the ensembling and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns, the entire right, title and interest in and to the said invention for which I have made application for Letters Patent of the United States entitled "INTEGRATED ANESTHESIA MACHINE," and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND I, the said MITCHELL F. BERMAN, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns;

AND I, the said MITCHELL F. BERMAN, for the considerations aforesaid, hereby covenant and agree to and with said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns, that I have the full power to make this assignment, and that the rights assigned are not encumbered



070050.2922  
PATENT

by any grant, license or right heretofore given, and that I, my executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns, may be required or necessary more effectively to secure to and vest in said THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that I will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, I, the said MITCHELL F. BERMAN have  
hereunto set my hand and seal on the date below written.



MITCHELL F. BERMAN

STATE OF )

: ss.:

COUNTY OF )

BE IT KNOWN, that on this 13 day of January <sup>6<sup>th</sup></sup> 2008, before me personally came MITCHELL F. BERMAN, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.



Notary Public

# EXHIBIT 2



RECEIVED

MAY - 1 2006

070050.2922

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 19, 2006

PTAS



\*103166392A\*

BAKER BOTTS L.L.P.  
30 ROCKEFELLER PLAZA  
44TH FLOOR  
NEW YORK, NEW YORK 10112

BAKER BOTTS L.L.P.

05 MAY 25 PM 3:18

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

*PAR*

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 01/25/2006

REEL/FRAME: 017494/0302

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 070050.2922

ASSIGNOR:

BERMAN, MITCHELL F.

DOC DATE: 01/13/2006

ASSIGNEE:

TRUSTEES OF COLUMBIA UNIVERSITY IN  
THE CITY OF NEW YORK, THE  
116TH STREET AND BROADWAY  
NEW YORK, NEW YORK 10027

SERIAL NUMBER: 10804250

FILING DATE: 03/19/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: INTEGRATED ANESTHESIA MACHINE

CPI UPDATED

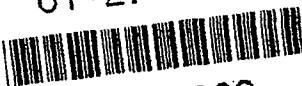
017494/0302 PAGE 2

SHARON LATIMER, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

01-27-2006

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

RI



103166392

cket No.: 070050.2922

To the Director of the U.S. Patent and Trademark Office

Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Mitchell F. Berman

**2. Name and address of receiving party(ies)**

Name: The Trustees Of Columbia University In The City Of New York

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 01/13/2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

Street Address: 116th Street and Broadway

City: New York

State: New York

Country: United States Zip: 10027

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/804,250

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Baker Botts L.L.P.

Internal Address: \_\_\_\_\_

Street Address: 30 Rockefeller Plaza, 44th Floor

City: New York

State: New York Zip: 10112

Phone Number: \_\_\_\_\_

Fax Number: 212-408-2501

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1

- 7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_  
☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 02-4377

Authorized User Name Baker Botts L.L.P.

**9. Signature:**

Signature

01/20/2006

Date

Paul A. Ragusa

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: ☐